

Demonstrating the model nature of the high-temperature superconductor $\text{HgBa}_2\text{CuO}_{4+\delta}$ Neven Barišić,^{1,2} Yuan Li,³ Xudong Zhao,^{1,4} Yong-Chan Cho,^{1,5} Guillaume Chabot-Couture,⁶ Guichuan Yu,³ and Martin Greven^{1,6}¹*Stanford Synchrotron Radiation Laboratory, Stanford University, Palo Alto, California 94305, USA*²*Institute of Physics, Bijenička cesta 46, Hr-10 000 Zagreb, Croatia*³*Department of Physics, Stanford University, Palo Alto, California 94305, USA*⁴*State Key Laboratory of Inorganic Synthesis and Preparative Chemistry, College of Chemistry, Jilin University, 2699 Qianjin Street, Changchun 130012, People's Republic of China*⁵*BK21 Team of Nano Fusion Technology, Miryang 629-706, Korea*⁶*Department of Applied Physics, Stanford University, Palo Alto, California 94305, USA*

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The compound $\text{HgBa}_2\text{CuO}_{4+\delta}$ (Hg1201) exhibits a simple tetragonal crystal structure and the highest superconducting transition temperature (T_c) among all single Cu-O layer cuprates, with $T_c=97$ K (onset) at optimal doping. Due to a lack of sizable single crystals, experimental work on this very attractive system has been significantly limited. Thanks to a recent breakthrough in crystal growth, such crystals have now become available. Here we demonstrate that it is possible to identify suitable heat treatment conditions to systematically and uniformly tune the hole concentration of Hg1201 crystals over a wide range, from very underdoped ($T_c=47$ K, hole concentration $p\approx 0.08$) to overdoped ($T_c=64$ K, $p\approx 0.22$). We then present quantitative magnetic susceptibility and dc charge transport results that reveal the very high-quality nature of the studied crystals. Using x-ray photoemission spectroscopy on cleaved samples, we furthermore demonstrate that it is possible to obtain large surfaces of good quality. These characterization measurements demonstrate that Hg1201 should be viewed as a model high-temperature superconductor.

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I. INTRODUCTION

Due to their ability to carry currents without loss at relatively high temperature, high-temperature superconductors (HTSCs) are increasingly used in applications such as wires¹ in current-limiting systems, power cables, motors, and generators.² However, despite intensive efforts over the past two decades, the development of a satisfactory theoretical model of the strong electronic correlation mechanisms that gives rise to the fascinating properties of these materials is still lacking. Consequently, the HTSCs have presented a formidable challenge, both experimentally and in terms of developing a theoretical understanding of the measured results. These materials are generally difficult to synthesize, in part due to the complexity of their crystal structures. Often only small, low-quality crystals are obtained. As is well known, the physical properties of transition metal oxides are typically very sensitive to disorder,³ and the HTSCs are no exception.^{4,5} As a result, it is not always clear whether measured properties are intrinsic or sample dependent.

Among the many HTSCs, $\text{HgBa}_2\text{CuO}_{4+\delta}$ (Hg1201) is one of the most promising compounds for systematic experimental investigation due to its simple tetragonal crystal structure, its record superconducting transition temperature among all single Cu-O layer materials, and its property of confining chemical disorder to the Hg-O layers which are relatively far away from the pivotal superconducting Cu-O layers. For the above reasons, Hg1201 is a potential model system. It is likely that quantitative experimental results obtained on well-characterized crystals will become benchmarks for tests of theoretical models. Unfortunately, Hg1201 and the Hg-based HTSCs, in general, have received relatively little attention so

far because of the lack of sizable, high-quality single crystals. Recently, we succeeded in obtaining gram-sized crystals of Hg1201 that are several orders of magnitude larger than previous samples.⁶ These crystals were used in successful initial x-ray-scattering,⁷ neutron-scattering,⁸ optical-conductivity,^{9,10} and specific-heat¹⁰ measurements. Here we address several important materials-related issues associated with crystal quality such as doping control, homogeneity, surface quality, and control over electrical contacts. These results provide valuable quantitative information for comparison with other HTSCs.

Hg1201 has a high-symmetry tetragonal crystal structure ($P4/mmm$) and a relatively simple unit cell with a small number of atoms (Fig. 1).¹¹ As for all HTSCs, the main structural and electronic unit is the Cu-O layer, where the superconductivity is believed to originate.¹² In contrast to most other HTSCs, the Cu-O layer of Hg1201 is free of long-range structural distortions. The Ba atom serves as a spacer, defining to a large extent the planar Cu-O(1) distance, and the apical oxygen O(2) resides at a significantly larger distance from the Cu atom than in other HTSC materials.¹³ A special property of Hg1201 arises from the ability of the Hg^{2+} cations to settle into a stable dumbbell coordination, thus forming a strong covalent bond with the apical oxygen.¹⁴ Consequently, there is a good lattice match between the layers and, as a result, there exists no long-range buckling of the CuO_6 octahedra.¹⁵ We note that Hg1201 contains disorder in the Hg-O layer associated with excess oxygen and mercury deficiencies.^{13,16,17} However, since this disorder is confined to the Hg-O charge reservoir layer, relatively far away from the Cu-O layers, it is generally thought not to influence appreciably the (super)conducting properties. In contrast, the quenched disorder situated imme-

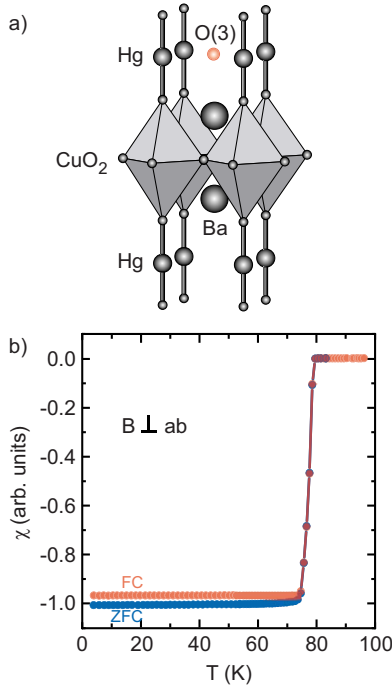


FIG. 1. (Color online) (a) Tetragonal crystal structure of $\text{HgBa}_2\text{CuO}_{4+\delta}$ including the position O(3) of the oxygen dopant [red (light gray) circle]. The Cu atoms reside at the center of CuO_6 octahedra. (b) ZFC (measured in 10 Oe; blue (dark gray)) and FC [cooled in 10 Oe and measured in 10 Oe; red (light gray)] susceptibility curves as a function of temperature for a sample with $T_c = 79(2)$ K (midpoint). The FC to ZFC susceptibility ratio is very high, suggesting an unusually small density of vortex pinning centers. The data shown here were obtained for a sample of ≈ 0.3 mg mass which was annealed for 1 month at 500°C in air.

diately next to the apical oxygen atoms in many other HTSCs is believed to significantly impact the local electronic properties of the Cu-O layers.¹⁸ We note that there exists much interest in the possible existence of electronic inhomogeneities in HTSCs.^{19,20} Since structural and electronic inhomogeneities are closely coupled,^{21,22} research on Hg1201 is expected to provide valuable insights and help differentiate the intrinsic properties of the hole-doped Cu-O layers from the “extrinsic” disorder effects.

II. CRYSTAL HOMOGENEITY

A. Probing the superconducting state

The zero-field-cooled (ZFC) magnetic susceptibility is commonly used to characterize the superconducting state. The absolute value of the diamagnetic signal (Meissner-Ochsenfeld effect) together with the sharpness of the transition are usually taken as sample-quality criteria. We have measured numerous samples and have generally found sharp transitions with typical widths of 2–3 K, as shown in Fig. 1(b). Although it is difficult to determine the exact superconducting volume fraction due to the demagnetization factor,²³ measurements of many different samples and sample geometries point toward fully superconducting single crystals. Although the above two criteria suggest high sample quality

and bulk superconductivity, they are incomplete, since they may not be sensitive to a possible spatial distribution of transition temperatures (e.g., solid and hollow spheres made from an otherwise homogeneous superconducting material will have the same ZFC response). Therefore, in order to test and truly characterize the superconducting state of the crystal bulk, we also measured the field-cooled (FC) susceptibility and conducted etching studies. Furthermore, we demonstrate that remnant-magnetic-moment (REM) measurements^{24–26} are an excellent method to probe sample homogeneity.

1. Magnetic susceptibility

In our Hg1201 crystals, the difference between the ZFC and FC measurements (with the magnetic field perpendicular to the CuO_2 sheets), although sample dependent, is surprisingly low compared to those for other HTSCs. To the best of our knowledge, the reported FC/ZFC ratio for high-quality crystals does not exceed 80%. Examples of this are $(\text{La,Sr})_2\text{CuO}_4$ [$\approx 50\%$ (Ref. 27)], $\text{YBa}_2\text{Cu}_3\text{O}_{6+\delta}$ [$\approx 40\%–80\%$ (Ref. 28)], and prior work on Hg1201 [$\approx 60\%–70\%$ (Ref. 29)]. The difference between ZFC and FC curves is attributed to magnetic flux trapped while cooling the sample in the field. As the temperature crosses T_c upon cooling, H_{c1} is low [see inset of Fig. 2(b)] and vortices are introduced into the bulk of the sample. By further decreasing the temperature, H_{c1} increases and the vortices are expelled from the sample except for those pinned to defects. For the data shown in Fig. 1(b), the FC/ZFC ratio is larger than 95%, indicating a very low density of trapped magnetic flux. This demonstrates that we were able to synthesize and select Hg1201 samples of very high quality, i.e., with a very low density of vortex pinning centers.

2. Etching

A convincing way to test if there exists a T_c gradient as a function of the distance from the surface is to etch the crystal and to measure its magnetic susceptibility as a function of the etched volume. We used diluted bromine acid (5% bromine, 95% alcohol) to etch a number of crystals. We established that for the majority of long-term annealed samples (2 months at 350°C in air, which resulted in $T_c = 95$ K; typical initial sample mass of 100 mg), the temperature profile of the susceptibility did not change across the sample, indicating a rather homogeneous oxygen/disorder distribution. We note that unless stated otherwise, we define T_c as the transition midpoint. Samples that were annealed insufficiently long showed a considerably smaller and quite inhomogeneous T_c deeper in the bulk, while the initial susceptibility curves reflected only the highest T_c associated with the skin of the sample. In addition, it is worth noting that the initial susceptibility curves were sharp, resembling the ZFC and FC curves shown in the inset of Fig. 2(a). The etching study showed that annealing times on the order of 1–2 months are sufficient to yield homogeneous samples, although crystals of different sizes or qualities require different lengths of time.

3. Remnant moment

A clear disadvantage of etching studies is their destructive nature. As a nondestructive alternative, we suggest REM

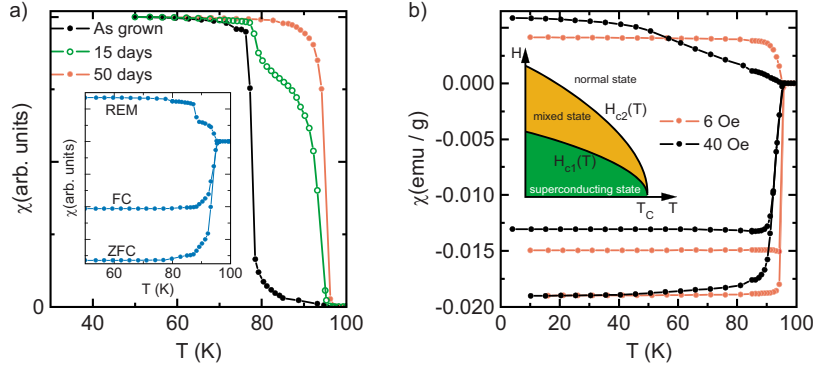


FIG. 2. (Color online) (a) The REM measurement (cooled in 5 Oe, with the field applied perpendicular to the ab planes) is used as a bulk probe of the superconducting state during annealing studies. For as-grown samples and for samples that were not annealed sufficiently long, the superconducting transition is typically broad and/or multistep-like [black filled circles and green (dark gray) open circles], indicating that the sample should be further annealed in order to change the overall oxygen concentration and/or to obtain better homogeneity. For a sufficiently long annealed sample [red (light gray) filled circles], the transition is sharp, which implies a macroscopically homogeneous oxygen distribution. As shown in the inset, typical ZFC and FC (measured/cooled in 5 Oe, $B \perp ab$) data for an insufficiently long annealed sample are not sensitive enough to a possibly broad distribution of transition temperatures. Those curves, although quite sharp, may suggest an incorrect value of T_c . We note that the FC/ZFC ratio is rather low because the measurement was performed on a larger crystal (≈ 100 mg), subsequently used for an inelastic-neutron-scattering study. Large crystals tend to have more pinning centers. The key observation is that the REM measurement allows us to determine whether our crystals are thoroughly annealed. (b) Temperature dependences of the ZFC, FC, and REM magnetic susceptibilities (measured moment divided by the applied magnetic field) for a homogeneous sample measured/cooled in high (40 Oe, $B \perp ab$; black points) and low fields [6 Oe, $B \perp ab$; red (light gray) points]. Inset: schematic temperature dependence of the upper (H_{c2}) and lower critical fields (H_{c1}) of the HTSC (not to scale) (Ref. 30).

measurements as a very simple bulk probe of the superconducting state. REM measurements are performed in the following way: First, the sample is cooled in a small (5–10 Oe) external field (as for FC measurements). Then the field is switched off so that the diamagnetic part of the signal disappears and only the trapped flux remains. Finally the remnant moment is measured. Since the trapped flux induces superconducting currents, any macroscopic T_c distribution will be easily observed: As the sample is warmed up, changes in the susceptibility correspond to those parts of the sample that are becoming nonsuperconducting. This is shown in Fig. 2. Although the samples were annealed for a long time and have a reasonably sharp transition as measured via field cooling and zero-field cooling, the REM data shown in the inset of Fig. 2(a) clearly reveal several T_c values (81, 87, and 96 K) and hence a broad bulk distribution. Another example of the utility of REM measurement is given in the main panel of Fig. 2(a), where the effect of the oxygen inhomogeneity in the sample is followed as a function of annealing time. This experiment confirms that long-term annealed samples are homogeneously doped throughout the bulk.

For the REM measurement it is important that the applied field is sufficiently small, since otherwise additional effects can be observed. This is demonstrated in Fig. 2(b), which shows ZFC, FC, and REM curves measured on a high-quality sample with fields of 6 and 40 Oe ($H \perp ab$ plane), both smaller than the low-temperature value of H_{c1} . In both cases, the ZFC and FC curves are flat and suggest a rather sharp T_c , in contrast with the REM curves. The trapped vortex density increases with the applied field.

In a naive picture, those vortices pinned to weak pinning centers will detach when the sample is heated up and will find other stronger pinning centers. If there are no such un-

occupied strong pinning centers, the vortices will be expelled from the material. The situation is more complicated if, at low temperatures, there are not enough pinning centers or if the applied field is larger than H_{c1} . In that case, one should also take into account the formation of a vortex lattice and its melting as the temperature is increased. For Hg1201, close to optimal doping ($T_c=95$ K), we established that fields perpendicular (parallel) to the ab planes of strength 10 Oe (1 Oe) or smaller are sufficiently low to avoid complications of this kind.

B. Probing the normal state by resistivity

We next obtained information about the normal state from dc resistivity measurements, which, although not sensitive to small inclusions of insulating secondary phases, contain important information about the bulk. After selecting high-quality long-term annealed crystals that had been characterized by magnetic susceptibility, careful resistivity measurements were carried out. First, we used the Laue method to determine the direction of the principal crystallographic axes⁶ and to ensure that the crystals exhibited clear and well-defined Bragg peaks. Next, the crystals were cleaved along the c axis and four gold contacts were sputtered on the ac/bc faces. In this contact geometry, the measured resistivity should reflect only the electrical properties of the ab planes. Gold wires were then attached with silver paint. Afterward the system was baked under the same conditions as the prior long-term annealing [520 °C in air, which yields a T_c of 81(1) K], and it was then quenched to room temperature, which resulted in a contact resistance of less than 1 Ω . We note that the resistive superconducting transition is sharp and coincides with that obtained from sus-

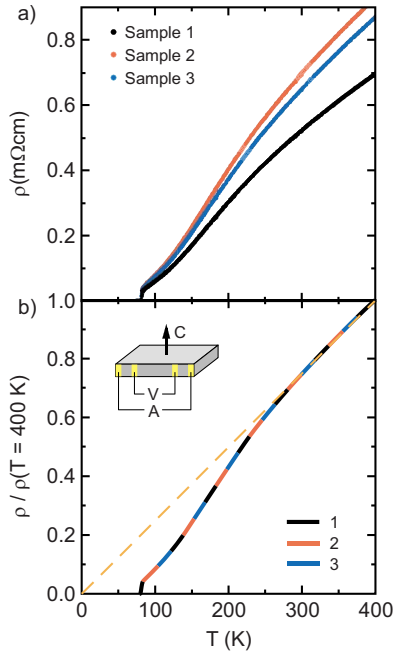


FIG. 3. (Color online) (a) Resistivity for three underdoped crystals that were annealed at 520 °C in air (and subsequently quenched by placing them onto a room-temperature metal plate) to yield $T_c = 81$ K. The approximate crystal dimensions were $0.5 \times 0.5 \times 0.75$ mm³ and the absolute value of the resistivity was determined to within $\approx 20\%$. (b) The remarkable agreement of the three resistivity curves when normalized at 400 K reveals the high sample quality. Resistivity is a bulk probe that is very sensitive to disorder and the presence of secondary phases. The excellent agreement among the three curves suggests that the samples are electronically identical. The low-temperature deviation from linearity suggests that for underdoped Hg1201 with $T_c = 81$ K, the pseudogap temperature is $T^* = 250(20)$ K. The resistivity was measured using electrical leads placed as shown in the inset.

ceptibility measurements (Fig. 1). Due to the irregular sample shape, the room-temperature value [approximately $\rho(300 \text{ K}) = 0.6 \text{ m}\Omega \text{ cm}$] could be obtained only to within 15%–20% accuracy [Fig. 3(a)]. As demonstrated in Fig. 3(b), despite having somewhat different dimensions, the three samples display the same normalized resistivity temperature dependence, which demonstrates their high bulk homogeneity and the absence of significant disorder effects, since the latter are expected to be sample dependent. The pseudogap temperature, defined as the characteristic temperature at which the resistivity starts to deviate considerably from a linear behavior, is $T^* = 250(20)$ K, somewhat higher (by ≈ 40 K) than a previous report for ceramic samples.³¹ We emphasize that the low contact resistance and high reproducibility on different samples make Hg1201 exceptionally interesting for detailed future transport investigations.

III. HIGH-QUALITY CLEAVED SURFACES

Advanced surface-sensitive techniques such as photoemission spectroscopy and scanning tunneling microscopy provide key insights into the properties of HTSCs and re-

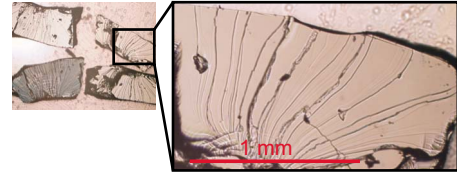


FIG. 4. (Color online) Surfaces of two cleaved Hg1201 crystals photographed by use of an optical microscope. One of the surfaces is enlarged to demonstrate its high quality.

quire uncontaminated flat surfaces obtained by *in situ* cleaving. This constraint has strongly limited the number of experimental systems that have been studied. Even for optical and Raman spectroscopies, which are less surface sensitive, it is favorable to have access to cleaved samples. Hg1201 does not exhibit a natural cleavage plane since it contains only one Hg-O layer between adjacent Cu-O layers. Nevertheless, we have been able to partially overcome this problem by using a simple modification of a standard method. As is common practice,³² the sample is glued to a sample holder parallel to the *ab* plane with silver epoxy and, on the opposite side, to a “post” in order to facilitate the cleaving. We then scratched one of the four free crystal *ac* sides with a surgical blade parallel to the *ab* plane, which allows the crystal to cleave along the scratched line when the sample post is knocked off, in the same way that glass can be cut with a diamond blade. This technique allows the crystal to break along a chosen line, presumably along high-quality material. This is in contrast to the standard way of cleaving, where the crystal breaks at its weakest places, most probably in defect rich regions. Using this modified cleaving method, we obtained large, flat and shiny surfaces, exhibiting steplike terraces (see Fig. 4). We note that the success rate of this method is quite high (above 50%) and that it is also possible to cleave samples along the *c* axis.

We next performed x-ray photoemission spectroscopy (XPS) measurement with a resolution of 1 eV in order to check the quality of the cleaved surfaces and found the expected Hg, Ba, Cu, and O peaks (see Fig. 5 and Table I) for samples cleaved in vacuum ($\approx 10^{-6}$ Torr). We found that

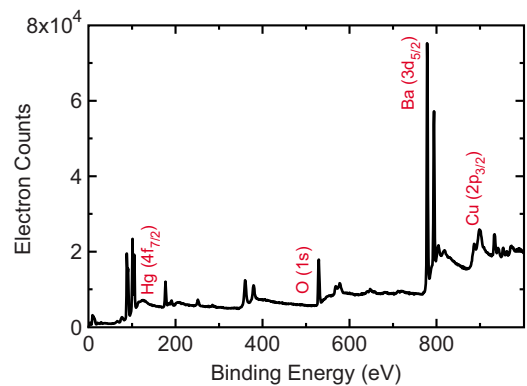


FIG. 5. (Color online) X-ray photoemission wide-energy survey scan (energy resolution: 1 eV) reveals elemental composition of the surface. Within the resolution of the measurement, no trace of unwanted elements is found. All peaks are observed at the expected energy, as listed in the Table I.

TABLE I. Assignment of the observed peaks in the energy x-ray photoemission spectrum. See Fig. 5.

Element	O(2 <i>p</i>)	O(2 <i>s</i>)	Cu(3 <i>p</i>)	Ba(4 <i>d</i> 5)	Hg(4 <i>f</i> _{7/2})
<i>E</i> (eV)	9	29	77	88	101
Element	Ba(4 <i>p</i> 3)	Ba(4 <i>p</i> 1)	Ba(4 <i>s</i>)	Hg(4 <i>d</i> 5)	Hg(4 <i>d</i> 3)
<i>E</i> (eV)	177	191	251	360	379
Element	O(1 <i>s</i>)	Ba(3 <i>d</i> 5)	Ba(3 <i>d</i> 3)	Cu(2 <i>p</i> 3)	Cu(2 <i>p</i> 1)
<i>E</i> (eV)	529	779	794	933	953

samples held in air for a few minutes after cleaving exhibited a strong additional carbon peak at a binding energy of ≈ 285 eV (not shown). By closer examination of the oxygen O(1*s*) peak at 529 eV (which indicates oxygen in metal oxides), with a higher energy resolution of 0.1 eV, it is observed that the peak is strongly suppressed after exposing the surface to air.³³ Instead, a strong carbonate peak emerges indicating a change in the surface chemistry. We furthermore note that high-resolution XPS measurements with sufficient counting time also reveal a weak carbon peak, which we believe to be a result of contamination due to the relatively low vacuum of the XPS apparatus. Consequently, when studying Hg1201 crystals with surface-sensitive techniques, one should keep in mind that the surfaces can be easily contaminated. Our results provide a plausible explanation for the $\approx 3\%$ missing reflectivity observed in recent optical-conductivity work.¹⁰

IV. ANNEALING PROCEDURE

It is well known that the electric, magnetic, and structural properties of many HTSCs, such as the superconducting

transition temperature and the lattice constants, sensitively depend on the oxygen concentration.^{34,35} The oxygen content can be varied by annealing the samples at different combinations of temperature and oxygen partial pressure. In most HTSCs, this is a delicate issue since the created oxygen vacancies (or the excess of oxygen, depending on the material) typically represent disorder, which in turn affects the electronic properties. In this respect, Hg1201 exhibits an advantage due to the fact that Hg forms a strong covalent bond with the apical oxygen O(2), leaving the additional oxygen, which is situated in the middle of the Hg square and rather far away from the CuO₂ sheets, only weakly bonded to the Hg cations (see Fig. 1).³⁶ Consequently, it is not expected that the extra O(3) atom appreciably perturbs the structure by introducing strain in the CuO₂ layer. Indeed, as discussed above (Figs. 1 and 2), the remnant field for high-quality crystals is low, which supports this hypothesis. Although the O(3) atom is not strongly bonded, the O(3) concentration defines the doping of the CuO₂ layers, since each such atom introduces between one³⁷ and two³⁸ holes into the superconducting planes.

We now report results of annealing studies, demonstrating excellent doping control for Hg1201 over a broad range of hole concentrations. As mentioned above, oxygen homogeneity across a crystal can be achieved only through long-term heat treatment. Figure 6 and Table II summarize the results of our annealing study. The anneal duration was at least 1 month, depending on sample size and quality. After the anneal, the crystals were quenched to room temperature. Because it is necessary to use relatively high oxygen partial pressures in order to overdope a crystal, we sealed several samples together with silver peroxide (the decomposition of which provides the necessary oxygen) in a quartz tube. We estimated that 0.133 g/cm³ of silver peroxide increases the

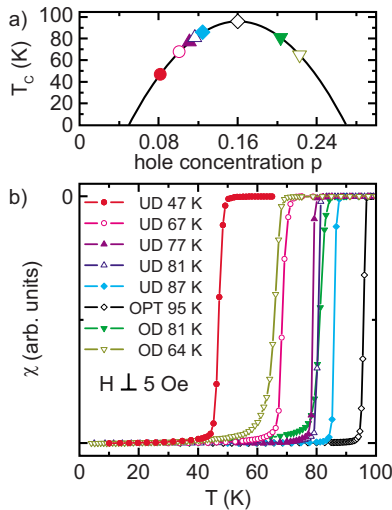


FIG. 6. (Color online) (a) Based on the empirical equation for the dependence of T_c on hole concentration, $T_c = T_{c,\max}[1 - 82.6(p - 0.16)^2]$ (Ref. 39), the estimated doping range is $0.08 < p < 0.22$, which covers a large region of the phase diagram. We note that T_c is defined as the transition midpoint. The highest onset transition temperature observed in our crystals was 97 K. (b) ZFC temperature dependences of the magnetic susceptibility and corresponding annealing conditions (Table II) for eight different dopings.

TABLE II. Summary of the annealing conditions. See Fig. 6.

Temperature (°C)	Pressure	T_c (K)
550	10^{-6} Torr	47
450	0.1 Torr	67
650	air	77
500	air	81
450	air	87
350	air	95
300	$\approx 10\text{--}15$ bars O ₂ , 0.04 g/cm ³ AgO	81
300	$\approx 20\text{--}30$ bars O ₂ , 0.13 g/cm ³ AgO	64

oxygen pressure to approximately 20 bars at 300 °C. In Fig. 6, ZFC curves measured with a field of 5 Oe perpendicular to the *ab* plane are presented for five underdoped, one optimally doped, and two overdoped samples. The superconducting transitions are found to be rather sharp (≈ 2 K). REM measurements (not shown) confirmed the high quality of the samples and their homogeneous oxygen distribution after the annealing.

V. CONCLUSION

To summarize, our data clearly demonstrate that Hg1201 should be viewed as a model high- T_c superconductor. We have succeeded in growing and selecting high-quality samples with very high FC/ZFC ratios. The bulk homogeneity of the O(3) oxygen distribution across the samples was tested through etching studies and also by measuring the REM temperature dependence, which is a nondestructive bulk probe of the superconducting state. These findings were further confirmed by probing the normal state through careful resistivity measurements for a number of underdoped samples ($T_c=81$ K), all annealed under the same conditions.

The reproducibility of the resistivity data was surprisingly good. The very small value of the residual resistance suggests that the material may be essentially free of zero-temperature intrinsic spin and charge disorder.^{40–42} Through extensive annealing studies, we have furthermore succeeded in doping our samples, ranging from an estimated hole concentration of 8% on the underdoped side to a concentration of about 22% on the overdoped side. It appears likely that this doping range can be further extended in the future. We have also demonstrated that it is possible to obtain low-resistance electrical contacts (less than 1 Ω), which is crucial for quantitative transport measurements, as well as large *in situ* cleaved surfaces of good quality, which is indispensable for surface-sensitive measurements.

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